### **Deep Learning for Program Analysis**

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### Outline

#### Introduction

#### Background

Deep Neural Networks Real-Valued Representation Learning

#### **Our Models**

Building Program Vector Representations for Deep Learning TBCNN: A Tree-based Convolutional Neural Network for Programming Language Processing

#### **Conclusion and Discussion**

Philosophy of Science: Also Belief

#### References

# Introduction

#### Deep Neural Networks

- Widely applied machine learning architectures
  - speech recognition
  - computer vision
  - natural language processing
- Capable of capturing highly complicated (non-linear) features efficiently
- Very little human engineering and prior knowledge is required people specify the model; machines learn details

[Hindle et al., 2012] compares programming languages to natural languages, and conclude that programs also have rich statistical properties

- Difficult for human to capture
- Justifying learning-based approaches

However, no deep learning approaches have been proposed or applied in the field of program analysis.

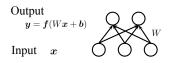
- We are the first to apply deep learning to program analysis
- We propose a real-valued vector representation learning based on abstract syntax trees [Mou et al., 2014b]
- We propose a tree-based convolutional neural network to capture tree structural information [Mou et al., 2014a]

# Background

**Deep Neural Networks** 

Model:

$$\boldsymbol{y} = \boldsymbol{f}(W \cdot \boldsymbol{x} + \boldsymbol{b})$$

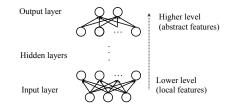


Training:

Gradient descent  $W \leftarrow W - \alpha \frac{\partial J}{\partial W}$ ,  $b \leftarrow b - \alpha \frac{\partial J}{\partial b}$ Limitation:

Linear separation

Model: Stacking multiple layers of neurons



Training: Gradient descent with back propagation

Model power:

- 2 layers for any Boolean or continuous function
- 3 layers for any function

Limitation:

- Inefficient (in terms of representation) The number of hidden units may grow exponentially to capture highly complicated features
- Poor generalization

Too many parameters  $\Rightarrow$  High VC dimension  $\Rightarrow$  Poor generalization

• Efficient to capture highly complicated features

Features are organized hierarchically, local features at lower layers and abstract features at higher layers

- Extremely difficult to train
  - Long term dependency (gradient would either vanish or blow up)
  - Local optima far from optimal

Successful pretraining methods extract features unsupervisedly

• Restricted Boltzmann Machine

Minimize the energy

• Autoencoder

Minimize reconstruction error

- 2-stage strategy
- 1. Pretraining to initialize the weights meaningfully
- 2. Fine-tuning with back propagation so that the weights are specific to a problem

### **Real-Valued Representation Learning**

Words are discrete!

They can't be fed to neural networks directly. (Recall  $W \cdot x$ )

Word 100 is 100x larger than Word 1?

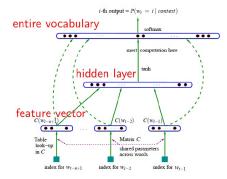
The basic idea:

- Map each word to a vector in  $\mathbb{R}^k$
- Each dimension capturing some (anonymous) feature

#### Learning Vector Representations

- [Bengio et al., 2003], maximizing the conditional probability of the n-th word given  $n-1 \ \rm words$
- [Mnih and Hinton, 2007], maximizing the energy defined on neighboring words
- [Morin and Bengio, 2005, Mnih and Hinton, 2009], hierarchical architectures to reduce the computational cost
- [Collobert et al., 2011], negative sampling
- [Mikolov et al., 2010], recurrent neural network

The goal of language models: maximizing the joint probability of a corpus



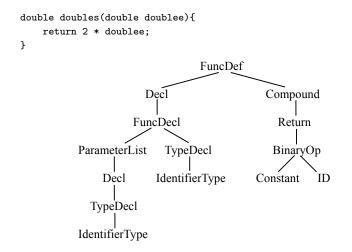
# **Our Models**

### Building Program Vector Representations for Deep Learning

### The Granularities of Program Analysis

- Characterize level?
- Token level?
- Nodes in Abstract Syntax Tree (AST)?
- Statement level? or higher?

#### The Abstract Syntax Tree



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The goal: To code parent's representation by its children's via a single layer of neurons

$$\operatorname{vec}(p) \approx \tanh\left(\sum_{i=1}^{n} l_i W_i \cdot \operatorname{vec}(c_i) + \boldsymbol{b}\right)$$

where  $l_i = \frac{\# \text{leaves under } c_i}{\# \text{leaves under } p}$  are the coefficients for W 's.

Define distance (Euclidean distance square)

$$d = \left\| \operatorname{vec}(p) - \tanh\left(\sum_{i=1}^{n} l_i W_i \cdot \operatorname{vec}(c_i) + \boldsymbol{b}\right) \right\|_2^2$$

Cost function

$$J(d^{(i)}, d_c^{(i)}) = \max\left\{0, \Delta + d^{(i)} - d_c^{(i)}\right\}$$

Training objective

$$\underset{\Theta}{\text{minimize}} \sum_{i} J(d^{(i)}, d_c^{(i)})$$

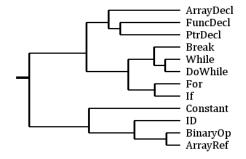
### Examples of the nearest neighbor query results.

Query	Results					
Query	Most Similar		Most Dissimilar			
ID	BinaryOp, Constant, ArrayRef, Assignment, StructRef		PtrDecl, Compound, Root, Decl, TypeDecl			
Constant	ID, UnaryOp, StructRef, ArrayRef, Cast		EnumeratorList, ExprList, If, FuncDef, Compound			
BinaryOp	ArrayRef, Assignment, StructRef, UnaryOp, ID		PtrDecl, Compound, FuncDecl, Decl, TypeDecl			
ArrayRef	BinaryOp, StructRef, UnaryOp, Assignment, Return		Compound, PtrDecl, FuncDecl, Decl, TypeDecl			
lf	For, Compound, Break, While, Case		BinaryOp, TypeDecl, Constant, Decl, ID			
For	If, While, Case, Break, Struct		BinaryOp, Constant, ID, TypeDecl, Decl			
Break	While, Case, Continue, Switch, InitList		BinaryOp, Constant, TypeDecl, Decl, ID			
While	Switch , Continue , Label , Goto		BinaryOp, Constant, Decl, TypeDecl, ID			
FuncDecl	ArrayDecl, PtrDecl, FuncDef, Typename, Root		ArrayRef, FuncCall, IdentifierType, BinaryOp, ID			
ArrayDecl	FuncDecl, PtrDecl, Typename, FuncDef, While		BinaryOp, Constant, FuncCall, IdentifierType, ID			
PtrDecl	FuncDecl, Typename, FuncDef, ArrayDecl		FuncCall, ArrayRef, Constant, BinaryOp, ID			

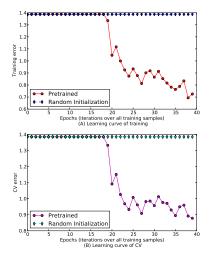
### k-Means Clustering (k = 3)

Cluster	Sybmols		
1	UnaryOp, FuncCall, Assignment, ExprList,		
	StructRef, BinaryOp, ID, Constant, ArrayRef		
2	FuncDef, TypeDecl, FuncDecl, Compound,		
	ArrayDecl, PtrDecl, Decl, Root		
	Typedef, Struct, For, Union, CompoundLiteral,		
	TernaryOp, Label, InitList, IdentifierType,		
	Return, Enum, Break, DoWhile, Case,		
3	DeclList, Default, While, Continue,		
	ParamList, Enumerator, Typename, Goto,		
	Cast, Switch, EmptyStatement,		
	EnumeratorList, If		

### Hierarchical Clustering



#### Performance in Supervised Classification



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28/47

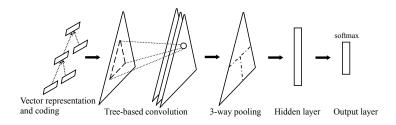
### **TBCNN: A Tree-based Convolutional Neural Network for Programming Language Processing**

Programs and natural languages are different in that

- Natural languages contain more symbols (words)
- Programs contain more structure information

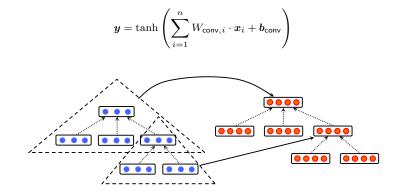
"The dog the stick the fire burned beat bit the cat." [Pinker, 1994]

### Architecture of TBCNN

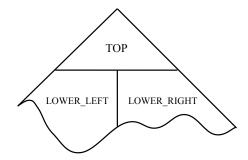


$$\begin{split} p &= W_{\texttt{comb1}} \cdot \text{vec}(p) \\ &+ W_{\texttt{comb2}} \cdot \tanh\left(\sum\nolimits_{i} l_{i} W_{\texttt{code},i} \cdot \text{vec}(x_{i}) + \boldsymbol{b}_{\texttt{code}}\right) \end{split}$$

#### Tree-based Convolution



### 3-Way Max Pooling



### The "Continuous Binary Tree" Model

$$W_i = \eta_i^{(t)} W^{(t)} + \eta_i^{(l)} W^{(l)} + \eta_i^{(r)} W^{(r)}$$



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- POJ problems
- 2 groups, 4 problems in each groups
- Supervised multi-class classification according to program functionalities

GRP.	Method	Train Err.	CV Err.	Test Err.
1	Random guess	75	75	75
	LR	24.3	26.86	26.7
	Linear SVM	24.89	27.51	28.48
L	RBF SVM	4.38	12.63	11.31
	TBCNN	4.03	9.98	10.14
	TBCNN+BOW	3.86	8.37	8.53
	Random guess	75	75	75
	LR	16.86	18.04	18.84
2	Linear SVM	17.18	17.87	19.48
2	RBF SVM	0.27	8.21	8.86
	TBCNN	0.48	5.31	4.98
	TBCNN+BOW	0.54	3.70	3.70

#### Detecting Bubble Sort

#### • Data

109 source codes contain bubble sort

109 source codes do not contain sort

1:1 for developing and testing

• Training

Generate  ${\sim}10000$  mock data samples

• Results

Classifier	Features	Accuracy
Rand/majority	-	50.0
RBF SVM	Bag-of-words	62.3
RBF SVM	Bag-of-trees	77.1
TBCNN	Learned	89.1

# **Conclusion and Discussion**

- Deep learning and representations learning background
- Building program vector representations
- Tree-based convolutional neural networks

# Philosophy of Science: Also Belief

Is computer science science?

Is political science science?

Discovery v.s. Invention

#### **Research Pipeline**

- Learning foundations
- Catching up the literature
- Figuring out new ideas
- Implementing your idea
- Experimenting for improvement
- Writing up

# Questions?

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