Discreteness in Neural Natural Language Processing

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EMNLP-IJCNLP 2019 Tutorial
Part IV: Discrete Output Space
Roadmap

• Examples of discrete output space

• Challenges and Solutions of Discrete Output Space
  - From Continuous Outputs to Discrete Outputs
    ▶ Embedding Matching by Softmax
  - Non-differentiable: Difficult for non-MLE training (e.g., GAN)
    ▶ RL for Generation
    ▶ Gumbel Softmax for Generation
  - Exponential Search Space
    ▶ Hard for Global Inference
    ▶ Hard for Constrained Decoding

• Case Study
  - Kernelized Bayesian Softmax
  - SeqGAN
  - Constrained Sentence Generation with CGMH
Outputs of NLP Tasks

\[
P(Y|X)
\]

\(X\) \(\xrightarrow{\text{neural networks}}\) \(Y\)

\{ Word, Sentence, Tree, Graph, ... \}
More complex discrete outputs such as sequence, tree or graph structures exit in NLP.
Output Sentences

Machine Writing

ChatBOT

Question Answering

Machine Translation
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Challenges of the Discrete Output Space

Discrete outputs, especially the discrete sequence/structure outputs are non-trivial for handling in neural NLP.
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- From Continuous Outputs to Discrete Outputs
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Challenges of the Discrete Output Space

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In the next part, we will explain these challenges in detail and give some solutions.
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From Continuous to Discrete Outputs

\[ P(Y|X) \]
How to transform continuous outputs to discrete \( Y \)?
Embedding Matching by Softmax

A simple sentiment classification case:
Embedding Matching by Softmax

A simple sentiment classification case:

\[ P(Y|X) \rightarrow softmax(o \times W) \rightarrow Y = \begin{cases} \text{positive} \\ \text{negative} \end{cases} \]
Maximum Likelihood Estimation:

\[
\min \mathbb{E}_{<X,Y> \sim p_{data}} [-\log p_\theta(Y|X)]
\]

\[
p_\theta(Y'|X) = \frac{\sigma(Y'|X)}{\sum_Y \sigma(Y|X)}
\]

Partition function: two possibilities, namely, positive or negative.
How about Sequence

\[ X \xrightarrow{} \quad P(Y|X) \xrightarrow{} Y = I \text{ like this tutorial} \]

I like this tutorial
Exponential Hypothesis Space!

**Maximum Likelihood Estimation:**

\[
\min \mathbb{E}_{(X,Y) \sim p_{\text{data}}} [-\log p_\theta(Y|X)]
\]

\[
p_\theta(Y') = \frac{\sigma(Y'|X)}{\sum_Y \sigma(Y|X)}
\]

Calculating partition function directly requires exponential time!

\[
V \times V \times V \times V = V^4
\]

I like this tutorial
Exponential Hypothesis Space!

Maximum Likelihood Estimation:

\[
\min \mathbb{E}_{<X,Y> \sim p_{data}} \left[-\log p_\theta(Y \mid X)\right]
\]

\[
p_\theta(Y') = \frac{\sigma(Y' \mid X)}{\sum_Y \sigma(Y \mid X)}
\]

Calculating partition function directly requires exponential time!

But, under certain model structure, it is possible to compute within tractable time
Locally Normalized Factorization

- Directed, fully-observed Bayesian network:

\[
Y = [y_1, y_2, y_3, \ldots, y_n]
\]

Decompose the joint distribution as a product of tractable conditionals:

\[
p_\theta(Y) = \prod_{i=1}^{n} p_\theta(y_i | y_1, y_2, \ldots, y_{i-1}) = \prod_{i=1}^{n} p_\theta(y_i | y_{<i})
\]
Tractable for Computing by Step by Step Factorization

\[ p_{\theta}(y'_i \mid y_{<i}, X) = \frac{\sigma(y'_i \mid y_1 \ldots y_{i-1}, X)}{\sum_{y'_i} \sigma(y_i \mid y_1 \ldots y_{i-1}, X)} \]

Vocabulary Size

Tractable for computing
Parameterization by Neural Networks

\[ p_\theta(y_i' \mid y_{<i}, X) = \frac{\sigma(y_i' \mid y_1 \cdots y_{i-1}, X)}{\sum_{y_i'} \sigma(y_i \mid y_1 \cdots y_{i-1}, X)} \]

Parameterization by RNN
Text Generation as an Example

$$p_\theta(y_i \mid y_{<i})$$
Softmax at Each Time Step

$$p_{\theta}(y_i | y_{<i})$$
Embedding Matching inside the Softmax

\[ p_\theta(y_i \mid y_{<i}) \]
BackPropagation

Cross Entropy Loss
Structures as Sequence Prediction

Linearizing the tree structure as a sequence of syntax labels.

Learning and Predicting Trees as a Sequence

Modeling the syntax parsing problem as a sequence to sequence prediction.

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Non-Differentiable Problem

\[ P(Y|X) \]
Non-Differentiable

- Fine for MLE but Non-trivial for other Training such as GAN.
What's GAN?

Generative Adversarial Networks:

\[
\min_G \max_D L(D, G) = \mathbb{E}_{x \sim p_r(x)}[\log D(x)] + \mathbb{E}_{z \sim p_z(z)}[\log(1 - D(G(z)))]
\]

\[
= \mathbb{E}_{x \sim p_r(x)}[\log D(x)] + \mathbb{E}_{x \sim p_g(x)}[\log(1 - D(x))]
\]
Generator vs. Discriminator

Generative Adversarial Networks:

$$\min_G \max_D L(D, G) = \mathbb{E}_{x \sim p_r(x)}[\log D(x)] + \mathbb{E}_{z \sim p_z(z)}[\log(1 - D(G(z)))]$$

$$= \mathbb{E}_{x \sim p_r(x)}[\log D(x)] + \mathbb{E}_{x \sim p_g(x)}[\log(1 - D(x))]$$
Objective Revisit

Generative Adversarial Networks:

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Objective Revisit

Generative Adversarial Networks:

$$\min_G \max_D L(D, G) = \mathbb{E}_{x \sim p_r(x)}[\log D(x)] + \mathbb{E}_{z \sim p_z(z)}[\log(1 - D(G(z)))]$$

$$= \mathbb{E}_{x \sim p_r(x)}[\log D(x)] + \mathbb{E}_{x \sim p_g(x)}[\log(1 - D(x))]$$
BackPropagation Fails

\[
\min_G \max_D L(D, G) = \mathbb{E}_{x \sim p_r(x)}[\log D(x)] + \mathbb{E}_{z \sim p_z(z)}[\log(1 - D(G(z)))]
\]

\[
= \mathbb{E}_{x \sim p_r(x)}[\log D(x)] + \mathbb{E}_{x \sim p_g(x)}[\log(1 - D(x))]
\]

Text is discrete, hard to propagate gradients from D to G!
Using RL or Gumbel Softmax

The same techniques used in dealing with the latent space such as RL or Gumbel softmax could also be adopted for handling the discrete output space.
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Exponential Hypothesis Space

Figure from Liang Huang’s slides
Hard for Global Inference

- Inference for decoding
  - Hard to yield the best scored output in the exponential space

- Inference in training (globally normalized model)
  - Non-trivial to compute the partition function
Inference for Decoding

$$\arg \max_Y \log p_\theta(Y | X) = \arg \max_Y \sum_{i=1}^{n} \log p_\theta(y_i | y_1, y_2, \ldots, y_{i-1}, X) = \arg \max_Y \sum_{i=1}^{n} \log p_\theta(y_i | y_{<i}, X)$$

Exponential search space

Figure from Liang Huang’s slides
Beam Search

$$\arg \max_{Y \in BEAM} \log p_\theta(Y | X) = \arg \max_{Y \in BEAM} \sum_{i=1}^{n} \log p_\theta(y_i | y_{<i}, X)$$

Heuristic search by beam search

Figure from Liang Huang’s slides
Inference in Training

Maximum Likelihood Estimation:

\[ \min \mathbb{E}_{\langle X,Y \rangle \sim p_{\text{data}}} [-\log p_\theta(Y|X)] \]

\[ p_\theta(Y') = \frac{\sigma(Y'|X)}{\sum_Y \sigma(Y|X)} \]

Calculating partition function directly requires exponential time!
Approximated Globally Normalized Model

Maximum Likelihood Estimation:

\[
\min \mathbb{E}_{<X,Y> \sim p_{data}} [-\log p_\theta(Y|X)]
\]

\[
p_\theta(Y') = \frac{\sigma(Y'|X)}{\sum_Y \sigma(Y|X)}
\]

\[
\sum_{Y \in BEAM} \sigma(Y|X)
\]
Inference in Training

Maximum Likelihood Estimation:

\[
\min \mathbb{E}_{X,Y \sim p_{\text{data}}} \left[ -\log p_\theta(Y \mid X) \right]
\]

\[
p_\theta(Y') = \frac{\sigma(Y' \mid X)}{\sum_Y \sigma(Y \mid X)}
\]

Contrastive divergence using beam search as sampling
Global Normalized Structured Prediction:

\[
\min \mathbb{E}_{<X,Y> \sim p_{data}} \left[ -\log p_\theta(Y|X) \right]
\]

\[
p_\theta(Y') = \frac{\sigma(Y'|X)}{\sum_{Y \in BEAM} \sigma(Y|X)}
\]

Contrastive divergence using beam search as sampling


Challenges of Discrete Output Structures

- From Continuous Outputs to Discrete Outputs
- Non-differentiable: fine for MLE but Non-trivial for other Training such as GAN
- Exponential Search Space
  ▶ Hard for Global Inference
  ▶ Hard for Constrained Decoding
Constrained Decoding

Constrained Decoding:

\[ \arg \max_{Y} p_{\theta}(Y \mid X), \]

s.t. \quad Y \text{ satisfy } C = \{C_1, C_2, \ldots, C_n\}

The decoding outputs should satisfy a set of constraints.
Constraints Definition

• Generating sentence satisfying constraints:
  • Hard constrains: **Keyword must occur in sentences**
    – E.g. Juice -> Brand natural juice, specially made for you
Constraints Definition

• Generating sentence satisfying constraints:
  • Hard constrains: Keyword must occur in sentences
    – E.g. Juice -> Brand natural juice, specially made for you
  • Soft constrains: Semantically similar to a given sentence (paraphrase)
    – E.g. The movie is a great success -> It is one of my favorite movies
Beam search over the Search Space
Vanilla Beam Search Fails

Desired outputs satisfying constraints
Vanilla Beam Search Fails

Vanilla beam search may hardly find the desired outputs under specific constraints.
Advanced Approaches
Targets of Constrained Decoding

Target Distribution of Constrained Decoding:

\[ \pi(Y) = \prod_i p_{\theta}(y_i | y_{<i}) \times \prod_{C \in \mathcal{C}} p_C(Y) \]

Density of the original model

Indicator functions for constraints
No Direct Sampling Method

Target Distribution of Constrained Decoding:

\[ \pi(Y) = \prod_i p_\theta(y_i | y_{<i}) \times \prod_{C \in \mathcal{C}} p_C(Y) \]

- **Density of the original model**
- **Indicator functions for constraints**

However, \( \pi(Y) \) is quite high dimensional, and no direct sampling method.
Generation by Sampling

The constrained decoding problem turns to be sampling instances from a high dimensional distribution.
The constrained decoding problem turns to be sampling instances from a high dimensional distribution.

Main Idea of CGMH

• Instead of sampling from \( \pi(x) \) directly, generating samples iteratively:
  – Starting with initial keywords
  – Next sentence based on modification of previous
  – Action proposals to modify the sentences
• Metropolis-Hastings Algorithm

• Suppose we have a blueprint

The book is interesting <EOS>
Generation by Local Changes

• Suppose we have a blueprint

The book is interesting <EOS>

This
Generation by Local Changes

• Suppose we have a blueprint

The book is interesting <EOS>

The book is interesting <EOS>

This quite
Generation by Local Changes

- Suppose we have a blueprint

The book is interesting <EOS>

This book is quite fascinating <EOS>
Metropolis Hastings Sampling

Metropolis-Hastings (MH) perform sampling by first proposes a transition, and then accepts or rejects the transition.

\[
A(x'|x_{t-1}) = \min(1, \frac{\pi(x') \cdot g(x_{t-1}|x')}{\pi(x_{t-1}) \cdot g(x'|x_{t-1})})
\]

\( g \) is proposal distribution
Metropolis—Hastings Sampler

• Algorithm
  - Start from an arbitrary initial state $x^{(0)}$
  - For every step $t$
    Propose a new state $x' \sim g(x'|x^{(t)})$
    Accept $x'$ w.p.
    $A(x'|x) = \min \left\{ 1, \frac{p(x')g(x^{(t)}|x')}{p(x)g(x'|x^{(t)})} \right\}$, i.e.,
    $x^{(t+1)} = x'$
    Reject $x'$ otherwise, i.e., $x^{(t+1)} = x^{(t)}$
  - Return $x^{(t)}$ with a large $t$
CGMH performs constrained generation by:
1. Pretrain Language Model prob;
2. Start from a initial sentence;
3. Propose a new action and accept/reject the action.
CGMH: Action Proposal

We use MH algorithm to sample from $\pi(x)$

- From a sentence $x_{t-1}$, we propose an action on one word of $x_{t-1}$.
- Actions include:
  1. Replacement: change a word to another one
  2. Insertion: add a word
  3. Deletion: remove a word
CGMH: Acceptance Ratio

- Calculate the acceptance rate:
  \[ A(x'|x_{t-1}) = \min(1, \frac{\pi(x') \cdot g(x_{t-1}|x')}{\pi(x_{t-1}) \cdot g(x'|x_{t-1})}) \]
- Accept \( x' \) with probability \( A(x'|x_{t-1}) \)

Initial sentence \( x_0 \)

Select a position of sentence \( x_{t-1} \)

Select an action on \( x_{t-1} \)

Replace
Insertion
Deletion

Calculate acceptance rate \( A(x'|x_{t-1}) \)

Accept / Reject \( x' \) according to \( A(x'|x_{t-1}) \)
Accept: \( x_t = x' \)
Reject: \( x_t = x_{t-1} \)

Generated sentences \( x_t \)
Proof Sketch (Cont.)

- MH Sampler satisfies detailed balance

  - \(\forall x, y, \text{ if } x \neq y, \ p(x) \cdot \mathcal{T}_{x \to y} = p(x) \cdot g(y \mid x) \cdot \min \left\{ 1, \frac{p(y)g(x \mid y)}{p(x)g(y \mid x)} \right\} \) (1)

  \[ p(y) \cdot \mathcal{T}_{y \to x} = p(y) \cdot g(x \mid y) \cdot \min \left\{ 1, \frac{p(x)g(y \mid x)}{p(y)g(x \mid y)} \right\} \] (2)

- W.L.O.G., we assume \( p(x)g(y \mid x) \geq p(y)g(x \mid y) \)

(1) = \( p(y) \cdot g(x \mid y) \)

(2) = \( p(y) \cdot g(x \mid y) \)

- \(\forall x, y, \text{ if } x = y, p(x)\mathcal{T}_{x \to y} = p(y)\mathcal{T}_{y \to x} \) also holds
Case Study

- Embedding Matching by softmax
  - Kernelized Bayesian Softmax
- RL for Generation
  - SeqGAN
- Generation by Sampling
  - Constrained Sentence Generation with CGMH
  - Generating Adversarial Examples for Natural Languages
Case Study 1

• Embedding Matching by Softmax
  – Kernelized Bayesian Softmax

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Kernelized Bayesian Softmax
Kernelized Bayesian Softmax

**KerBS: Kernelized Bayesian Softmax**

\[
P(x_t = i) = \sum_{j \in 0,1,\ldots,N_i} P(x_t = s_j^i)
\]

where \[P(x_t = s_j^i) = \frac{\exp(\mathcal{K}_{\theta_i}^j(h_t, w_j^i))}{\sum_k \sum_{r \in 0,1,\ldots,N_k} \exp(\mathcal{K}_{\theta_r}^k(h_t, w_k^r))}\]

\[
\mathcal{K}_{\theta}(h, e) = \frac{|h| |e|}{(a \exp(-\theta \cos(h, e)) - a)}
\]

Here \(h\) is hidden state, \(e\) is embedding, \(\theta\) is a parameter controlling the embedding variances of each sense and \(a = \frac{-\theta}{2(\exp(-\theta) + \theta - 1)}\) is a normalization factor.

Why KerBS?

Model capacity of softmax is not OK

<table>
<thead>
<tr>
<th>Category</th>
<th>Word2Vec</th>
<th>BERT</th>
</tr>
</thead>
<tbody>
<tr>
<td>Context Independent</td>
<td>Low</td>
<td>High</td>
</tr>
<tr>
<td>Context Dependent</td>
<td>Bad</td>
<td>Good</td>
</tr>
</tbody>
</table>

Motivated by BERT, we may need context dependent embedding for text generation!
Text Generation as Matching

Text Generation is Embedding Matching

Context Independent Embedding

Context Dependent Embedding

Word Embeddings

inner-product

softmax

Word Probability

0.8
0.01
0.02
...

RNN decoder
Bottleneck of Text Generation

Bottleneck of text generation is the softmax

Embedding matrix in softmax should have larger capacity.
Intuitive Motivation

• Multi-Sense & Varying Variances
Visualization of BERT

• Multi-Sense & Varying Variances

(a) computer  
(b) monitor  
(c) car and vehicle

Softmax can handle this situation
Visualization of BERT

- Multi-Sense & Varying Variances

Softmax can’t handle multisense.
Visualization of BERT

- **Multi-Sense & Varying Variances**

  Softmax can’t handle **multisense** and varying **variances**.
KerBS - Multisense

Each word may have several senses. KerBS allocates a vector for each sense.
KerBS - Multisense

After getting the probabilities of each sense, KerBS sums up all sense probabilities of same word.

\[ P(x_t = i) = \sum_{j=0,1,\ldots,N_i} P(x_t = s_i^j) \]
KerBS - Varying Variances
KerBS - Varying Variances

The distribution of each word’s output vectors have different variances. We use a variable kernel to represent varying variances.

\[
P(x_t = s_i^j) = \frac{\exp(\mathcal{K}_{\theta_i}(h_t, w_i^j))}{\sum_k \sum_{r \in 0,1,...,N_k} \exp(\mathcal{K}_{\theta_k}(h_t, w_r^k))}
\]

\[
\mathcal{K}_{\theta}(h, e) = |h| |e| (a \exp(-\theta \cos(h, e)) - a)
\]

Note that when \( \theta \to 0, \mathcal{K}_{\theta}(h, e) \to |h| |e| \cos(h, e) \), which is regular Euclidean norm!
KerBS - Varying Variances

The distribution of each word’s output vectors have different variances. We use a variable kernel to represent varying variances.

Figure 2: Kernel shapes of different $\theta$. 

(a) $\theta = -2$

(b) $\theta = 2$
How to decide the sense number of each word?

**Dynamically** change each word's sense number while training. **Delete** senses that are less used. **Add** senses to words which are not well fitted.
Dynamic Allocation

Distillation

Decoder

Kernel

copy

mask

I want a red apple

I want a red apple

KerBS

W

θ

P_sense

P_word

0.1

0.5

0.6

apple

0.01

0.01

0.02

amazon

softmax

aggregate

I want a red apple

weighted sum

get “apple” embedding

Tuning

query embedding

eat an apple

eat an apple

apple

0.1

0.5

0.6

apple

weighted sum
Theoretical Guarantee

**Lemma 1**
KerBS has the ability to learn the multi-sense property. If the real distribution of context vectors consists of several disconnected clusters, KerBS will learn to represent as many as these clusters.

KerBS can capture the multi-sense property.

**Lemma 2**
KerBS has the ability to learn model variances. For distributions with larger variances, KerBS learns larger $\theta$.

KerBS can learn varying variances.
Experiments-Setting

We test KerBS on 3 text generation tasks:

1. **Machine Translation (MT)** is conducted on IWSLT’16 De-En, which contains 196k pairs of sentences for training.

2. **Language modeling (LM)** is included. Following previous work, we use a 300k, 10k and 30k subset of One-Billion-Word Corpus for training, validating and testing.

3. **Dialog generation (Dialog)** is also included. We employ the DailyDialog dataset for experiment.
## Main Results

### Table 1: Performance of KerBS on Seq2Seq.

<table>
<thead>
<tr>
<th>Tasks</th>
<th>Metrics</th>
<th>Seq2Seq</th>
<th>Seq2Seq+ MoS [Yang et al., 2018]</th>
<th>SeqSeq + KerBS</th>
</tr>
</thead>
<tbody>
<tr>
<td>MT</td>
<td>BLEU-4</td>
<td>25.91</td>
<td>26.45</td>
<td>27.28</td>
</tr>
<tr>
<td>LM</td>
<td>PPL</td>
<td>103.12</td>
<td>102.72</td>
<td>102.17</td>
</tr>
<tr>
<td>Dialog</td>
<td>BLEU-1</td>
<td>16.56</td>
<td>13.73</td>
<td>17.85</td>
</tr>
<tr>
<td></td>
<td>Human Eval.</td>
<td>1.24</td>
<td>1.04</td>
<td>1.40</td>
</tr>
</tbody>
</table>

### Table 2: Performance of KerBS on Transformer.

<table>
<thead>
<tr>
<th>Tasks</th>
<th>Metrics</th>
<th>Transformer</th>
<th>Transformer + MoS [Yang et al., 2018]</th>
<th>Transformer + KerBS</th>
</tr>
</thead>
<tbody>
<tr>
<td>MT</td>
<td>BLEU-4</td>
<td>29.61</td>
<td>28.54</td>
<td>30.90</td>
</tr>
<tr>
<td>Dialog</td>
<td>BLEU-1</td>
<td>10.61</td>
<td>9.81</td>
<td>10.90</td>
</tr>
</tbody>
</table>
Case Study 2

- Greedy Embedding Matching
  - Kernelized Bayesian Softmax

- RL for Generation
  - SeqGAN

- Generation by Sampling
  - Constrained Sentence Generation with CGMH
  - Generating Adversarial Examples for Natural Languages
SeqGAN

Directly applying RL to use Discriminator outputs as reward for updating Generator.
BackPropagation Fails

• Sentence is discrete, BP fails in such case
  • RL
  • Gumbel Softmax

Variance of gradient is very large!
Hard for training :(
## RL for Text Generation

### GANs for text generation

<table>
<thead>
<tr>
<th>Strategies to deal with discontinuity</th>
<th>GAN models</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Policy Gradient</strong></td>
<td><strong>SeqGAN</strong>: First GAN on discrete sentence space.</td>
</tr>
<tr>
<td><strong>Gumbel Softmax</strong></td>
<td><strong>RankGAN</strong>: Use rank information to mitigate gradient vanishing.</td>
</tr>
<tr>
<td><strong>AAE (Adversarial Autoencoder)</strong></td>
<td><strong>LeakGAN</strong>: Use feature extracted by D to guide G.</td>
</tr>
<tr>
<td></td>
<td><strong>GumbelGAN</strong>: Use Gumbel-trick to handle discontinuity.</td>
</tr>
<tr>
<td></td>
<td><strong>TextGAN</strong>: Use feature matching for training.</td>
</tr>
<tr>
<td></td>
<td><strong>RelGAN</strong>: Build stronger D and G. The first practical Gumbel GAN.</td>
</tr>
<tr>
<td></td>
<td><strong>LATEST-GAN</strong>: Combines Gumbel GAN and AAE</td>
</tr>
<tr>
<td></td>
<td><strong>ARAE</strong>: Perform GAN on embedding space.</td>
</tr>
<tr>
<td></td>
<td><strong>LATEST-GAN</strong>: Combines Gumbel GAN and AAE</td>
</tr>
</tbody>
</table>

---
MLE Outperforms different GAN Variants

<table>
<thead>
<tr>
<th>Model</th>
<th>NLL_{oracle}</th>
</tr>
</thead>
<tbody>
<tr>
<td>SeqGAN (Yu et al., 2017)</td>
<td>8.74</td>
</tr>
<tr>
<td>RankGAN (Lin et al., 2017)</td>
<td>8.25</td>
</tr>
<tr>
<td>LeakGAN (Guo et al., 2017)</td>
<td>7.04</td>
</tr>
<tr>
<td>IRL (Shi et al., 2018)</td>
<td>6.91</td>
</tr>
<tr>
<td>MLE (α = 1.0)</td>
<td>9.40</td>
</tr>
<tr>
<td>MLE (α = 0.4)</td>
<td>5.50</td>
</tr>
<tr>
<td>MLE (α = 0.001)</td>
<td>4.58</td>
</tr>
</tbody>
</table>

Table 2: NLL_{oracle} measured on the synthetic task (lower is better). All results are taken from their respective papers. An MLE-trained model with reduced temperature easily improves upon these GAN variants, producing the highest quality sample.

Figure 3: Effect of temperature tuning on the global metrics (lower is better for both metrics) for the synthetic task. The GAN cross-validated on quality only lies outside the figure because of severe mode collapse.

Case Study 3

- Greedy Embedding Matching
  - Kernelized Bayesian Softmax
- RL for Generation
  - SeqGAN
- Generation by Sampling
  - Constrained Sentence Generation with CGMH
  - Generating Adversarial Examples for Natural Languages
Advertisement Slogan by Constrained Generation

Keywords from Advertiser: Rin, clothes, bright

Advertisement Slogan: New Rin Keeps clothes bright like new
Sampling in Sentence Space

CGMH performs Metropolis-Hastings sampling directly in sentence space:

<table>
<thead>
<tr>
<th>Step</th>
<th>Action</th>
<th>Acc/Rej</th>
<th>Sentences</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>[Input]</td>
<td></td>
<td>BMW sports</td>
</tr>
<tr>
<td>1</td>
<td>Insert</td>
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<td>BMW sports car</td>
</tr>
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<tr>
<td></td>
<td></td>
<td></td>
<td>...</td>
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<tr>
<td>6</td>
<td>Insert</td>
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<td></td>
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</tr>
</tbody>
</table>

## Cases of Keyword to Sentences

<table>
<thead>
<tr>
<th>Keyword(s)</th>
<th>Generated Sentences</th>
</tr>
</thead>
<tbody>
<tr>
<td>friends</td>
<td>My good <strong>friends</strong> were in danger.</td>
</tr>
<tr>
<td>project</td>
<td>The first <strong>project</strong> of the scheme.</td>
</tr>
<tr>
<td>have, trip</td>
<td>But many people <strong>have</strong> never made the <strong>trip</strong>.</td>
</tr>
<tr>
<td>lottery, scholarships</td>
<td>But the <strong>lottery</strong> has provided <strong>scholarships</strong>.</td>
</tr>
<tr>
<td>decision, build, home</td>
<td>The <strong>decision</strong> is to <strong>build</strong> a new <strong>home</strong>.</td>
</tr>
<tr>
<td>attempt, copy, painting, denounced</td>
<td>The first <strong>attempt</strong> to <strong>copy</strong> the <strong>painting</strong> was <strong>denounced</strong>.</td>
</tr>
</tbody>
</table>
# Paraphrase Generation

<table>
<thead>
<tr>
<th>Model</th>
<th>BLEU-ref</th>
<th>BLEU-ori</th>
<th>NLL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Origin Sentence</td>
<td>30.49</td>
<td>100.00</td>
<td>7.73</td>
</tr>
<tr>
<td>VAE-SVG (100k)</td>
<td>22.50</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>VAE-SVG (100k)</td>
<td>22.90</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>VAE-SVG (50k)</td>
<td>17.10</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>VAE-SVG (50k)</td>
<td>17.40</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Seq2seq (100k)</td>
<td>22.79</td>
<td>33.83</td>
<td>6.37</td>
</tr>
<tr>
<td>Seq2seq (50k)</td>
<td>20.18</td>
<td>27.59</td>
<td>6.71</td>
</tr>
<tr>
<td>Seq2seq (20k)</td>
<td>16.77</td>
<td>22.44</td>
<td>6.67</td>
</tr>
<tr>
<td>VAE (unsupervised)</td>
<td>9.25</td>
<td>27.23</td>
<td>7.74</td>
</tr>
<tr>
<td>CGMH w/o matching</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>w/KW</td>
<td>18.85</td>
<td>50.28</td>
<td>7.52</td>
</tr>
<tr>
<td>w/KW + WVA</td>
<td>20.17</td>
<td>53.15</td>
<td>7.57</td>
</tr>
<tr>
<td>w/KW + WVM</td>
<td>20.41</td>
<td>53.64</td>
<td>7.57</td>
</tr>
<tr>
<td>w/KW + ST</td>
<td>20.89</td>
<td>54.96</td>
<td>7.46</td>
</tr>
<tr>
<td>w/KW + ST</td>
<td>20.70</td>
<td>54.50</td>
<td>7.78</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Type</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ori</td>
<td>what’s the best plan to lose weight</td>
</tr>
<tr>
<td>Ref</td>
<td>what is a good diet to lose weight</td>
</tr>
<tr>
<td>Gen</td>
<td>what’s the best way to slim down quickly</td>
</tr>
<tr>
<td>Ori</td>
<td>how should i control my emotion</td>
</tr>
<tr>
<td>Ref</td>
<td>how do i control anger and impulsive emotions</td>
</tr>
<tr>
<td>Gen</td>
<td>how do i control my anger</td>
</tr>
<tr>
<td>Ori</td>
<td>why do my dogs love to eat tuna fish</td>
</tr>
<tr>
<td>Ref</td>
<td>why do my dogs love eating tuna fish</td>
</tr>
<tr>
<td>Gen</td>
<td>why do some dogs like to eat raw tuna and raw fish</td>
</tr>
</tbody>
</table>
Adversarial Example for Text

Generating adversarial example for text is hard! Because the text space is discrete, which is non-trivial to apply adversarial gradients!
CGMH for Generating Fluent Adversarial Examples

CGMH for Generating Fluent Adversarial Examples

CGMH for Generating Fluent Adversarial Examples

<table>
<thead>
<tr>
<th>Task</th>
<th>Approach</th>
<th>Succ(%)</th>
<th>Invok#</th>
<th>PPL</th>
<th>α(%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>IMDB</td>
<td>Genetic</td>
<td>98.7</td>
<td>1427.5</td>
<td>421.1</td>
<td>–</td>
</tr>
<tr>
<td></td>
<td>b-MHA</td>
<td>98.7</td>
<td>1372.1</td>
<td>385.6</td>
<td>17.9</td>
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<tr>
<td></td>
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<td>748.2</td>
<td>375.3</td>
<td>34.4</td>
</tr>
<tr>
<td>SNLI</td>
<td>Genetic</td>
<td>76.8</td>
<td>971.9</td>
<td>834.1</td>
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<tr>
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<td>86.6</td>
<td>681.7</td>
<td>358.8</td>
<td>9.7</td>
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<tr>
<td></td>
<td>w-MHA</td>
<td>88.6</td>
<td>525.0</td>
<td>332.4</td>
<td>13.3</td>
</tr>
</tbody>
</table>

Conclusion of the Tutorial
Conclusion of the Tutorial

- Neural networks are good
- Natural language is discrete (Input, latent, output spaces)
  - Representation learning
  - Non-differentiability
  - Exponential search space
Thank You